Thornburg

R Share Account Application

800.847.0200

Mail application to: Thornburg, PO Box 219017, Kansas City, MO 64121-9017

Overnight application to: Thornburg, 330 W. 9th St, Kansas City, MO 64105-1514

Class R3, Class R4, Class R5, and Class R6 shares are generally available to employer sponsored retirement plans, such as profit sharing and money purchase pension plans, defined benefit plans and nonqualified deferred compensation plans, and plans described in Sections 401(k), 403(b) and 457 of the Internal Revenue Code, where the employer, administrator, sponsor or related person has entered into an agreement to make Class R3, Class R4, Class R5, or Class R6 shares available to plan participants. Class R3, Class R4, Class R5, and Class R6 shares generally are not available to retail non-retirement accounts, individual retirement accounts (IRAs), Roth IRAs, SIMPLE IRAs, individual 403(b) plans, Simplified Employee Pensions (SEPs), SAR-SEPs, 529 tuition programs, and Coverdell Educational Savings Accounts. Retirement plans wishing to make Class R3, Class R4, Class R5, or Class R6 shares available to plan participants should contact a securities dealer authorized to sell shares of the funds.

1. Plan Type

Retirement Plan Covered by ERISA:

☐ 401(k) ☐ 403(b) ☐ 457 ☐ Defined Benefit ☐ Profit-sharing Plan ☐ Other

2. Plan & Employer Information

Plan Name (as it should appear on the account) | Plan Tax ID

Address | City | State | Zip Code

Company Name

Company Address (if different from the plan address) | City | State | Zip Code

Company Contact | Contact Phone Number

3. Plan Custodian

Firm Name (as it should appear on the account)

Address | City | State | Zip Code

Authorized Trader

4. Record Keeper or Third-Party Administrator (TPA)

A. ☐ Record Keeper Information B. ☐ TPA Information

Firm Name | Tax ID

Address | City | State | Zip Code

Contact Name | Contact Phone Number

☐ Please check if you would like to receive duplicate confirmation and shareholder statements sent to the above address.

5. Investment Advisor (Please complete to prevent delays in the processing of your application.)

Firm Name | Representative Name

Representative Address | City | State | Zip Code

Phone Number | Email Address | Branch Number/Representative Number

6. Fund Selection - If more than one fund is selected the accounts must have identical registration.

FUND	CLASS R3	CLASS R4	CLASS R5	CLASS R6
Small/Mid Cap Core Fund	☐ 467	☐ 807	☐ 597	N/A
International Equity Fund	☐ 499	☐ 809	☐ 599	☐ 989
Small/Mid Cap Growth Fund	☐ 483	☐ 813	☐ 593	N/A
Investment Income Builder Fund	☐ 461	☐ 861	☐ 591	☐ 1482
Global Opportunities Fund	N/A	☐ 852	☐ 572	☐ 1483
International Growth Fund	☐ 469	☐ 869	☐ 569	☐ 987
Developing World Fund	N/A	N/A	☐ 511	☐ 988
Strategic Income Fund	N/A	☐ 811	☐ 519	☐ 1481
Limited Term U.S. Government Fund	N/A	☐ 812	☐ 510	N/A
Limited Term Income Fund	☐ 496	☐ 806	☐ 516	☐ 1484

7. Dividend & Capital Gains Option

All dividends and capital gains will be automatically reinvested.

8. Wiring Instructions

Bank Name

Address	City	State	Zip Code

ABA Routing Number

Account Number

Bank Account Registration

9. Authorization Signature(s)

(Please read carefully, check where appropriate and sign below exactly as registered in Section 2)

☐ I/We have full authority and legal capacity to purchase fund shares

☐ I/We have received a current prospectus of the fund and agree to be bound by its terms.

I am a: ☐ U.S. Citizen, ☐ U.S. Resident Alien, ☐ Representative of a U.S. entity

I certify under penalty of perjury that:

1. The Social Security number or employer identification number I have given on this form is correct.
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholdings.

   Important: Cross out item no. 2 if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest or dividends on your tax return.
3. I am a U.S. person.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Signature

Date

If not an individual, include proper documents indicating authorized signers.

PRIVACY NOTICE

This notice is being provided on behalf of Thornburg Investment Management, Inc., Thornburg Investment Trust and Thornburg Securities Corporation. If you have any questions about this notice, please contact us at 800-847-0200.

We are committed to preserving the confidentiality of the personal information we receive about you. The following information is provided to help you understand how we gather, use and protect personal information.

You typically provide personal information when communicating with us or other persons, in writing, by phone or electronically. Examples of this information include:

Name
Address
Social Security Number
Date of Birth
Information about your Transactions

This information may be provided in the accompanying account application, other forms and from your transactions with our affiliates, other persons and us. We also may request information for identification purposes, to fulfill regulatory requirements, and to assist you in making financial decisions and in performing transactions for you.

Your personal information may be shared among our affiliates which provide services in connection with your investment. We also may share this information with unrelated financial service providers, non-financial companies and others which supply services related to your Thornburg account. Examples of these services include:

Transaction Processing
Account Servicing
Communications with Shareholders
Developing and Marketing New Services and Products

We also may disclose names and addresses of our customers to companies which perform marketing services on our behalf or to other financial institutions with which we have joint marketing agreements. We do not disclose nonpublic personal information about customers or former customers to any unrelated party, except as permitted by law.

We use security measures reasonably designed to protect information we obtain about you. These security measures include:

Third parties performing services for us and having access to your information are required to maintain the confidentiality of that information.

We restrict access to your information in our own offices to specific individuals who have a need to use that information in connection with their employment, and those individuals are subject to an internal confidentiality policy.

We employ data encryption, user names and passwords, web server technologies and other techniques to protect the confidentiality of stored information and electronic transfers of information.

The accuracy of your personal information is important. If you need to correct or update your personal or account information, please contact us at 800-847-0200. One of our customer service representatives will review, correct or update your information.

2300 North Ridgetop Road
Santa Fe, New Mexico 87506

800.847.0200
www.thornburg.com

Thornburg Securities Corp., Distributor

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