



Inherited IRA Transfer Form

You can now complete most transactions online. Visit thornburg.com

Please use this form for the following types of transactions:

- You are transferring shares into a new Inherited IRA with Thornburg
- You are transferring shares into a new Inherited IRA with Thornburg and taking a distribution at the same time
- You are transferring shares into a new Inherited IRA with Thornburg and wish to establish a systematic withdrawal plan at the same time

For transactions on previously-established Inherited IRA accounts, please complete the Thornburg IRA Distribution Request Form (TH1964), or process the transaction online at thornburg.com

Mail form to: Thornburg, PO Box 219017, Kansas City, MO 64121

Part 1: Inheritor/Decedent Information

A. Inheritor

First Name M.I. Last Name

Entity Name

Social Security Number or Tax Identification Number Date of Birth

Mailing Address

City State Zip

Phone Number Email

B. Deceased IRA/Roth Owner

First Name M.I. Last Name

Social Security Number Account Number

Date of Birth Date of Death

C. Prior IRA/Roth Owner

Complete this section ONLY if you are a Succeeding Generation Beneficiary. Add all previous owners of this IRA/Roth IRA. If you complete this section, but are a First Generation Beneficiary, Thornburg will take no action on the information provided.

First Name	M.I.	Last Name
Date of Birth	Date of Death	

Part 2: Redeeming in Full Immediately

All beneficiary types

- If you are redeeming in full immediately, please mark here, attach completed IRS Form W-9, and skip to Parts 6, 7, and 8 of this distribution form.

Part 3: Transfer Instructions

A. Spousal beneficiaries only, choose one

- Please transfer all or _____% of shares to existing Thornburg IRA account number _____ or new Thornburg IRA in my name
(completed *Thornburg Individual Retirement Account Adoption Agreement* is attached)
- Please transfer all or _____% of shares from Thornburg IRA account number _____ to new DCD IRA
(completed *Thornburg Individual Retirement Account Adoption Agreement* is attached)

B. Non-spousal beneficiaries

- Please transfer all or _____% of shares from Thornburg IRA account number _____ to new DCD IRA
(completed *Thornburg Individual Retirement Account Adoption Agreement* is attached)

Part 4: Beneficiary Relationship

You must identify your relationship to the original account owner.

A. Inheriting Directly from the Original Owner

- First Generation Eligible Designated Spouse is Sole Beneficiary (1st Gen EDB–Sole Spouse)***
Select this option if you are a sole spouse and you are NOT electing to treat as your own IRA. If you are treating the IRA as your own, please skip this question and see Installment Distribution/RMD section below
- First Generation Designated Beneficiary (1st Gen DB)**
Select this option if you are not eligible for Life Expectancy (sibling of deceased, grandchild of deceased, child over the age of 18.)
- First Generation Eligible Designated Beneficiary – Other (1st Gen EDB)***
Select this option if you are eligible for Life Expectancy payments (chronically ill beneficiary, disabled beneficiary, eligible designated beneficiary less than 10 years younger than original account owner.)
- First Generation Eligible Designated Minor Beneficiary of Deceased Owner (1st Gen EDB–Minor)***
Select this option if the inherited IRA is for a minor (under the age of 18) who is a direct descendant (child) of the deceased shareholder.
- First Generation Non-Designated Beneficiary (1st Gen NDB)***
Select this option if the inherited IRA is being transferred to an entity beneficiary (estate, charity, trust)

B. Inheriting from a Beneficiary (not the Original Owner)

- Succeeding Generation Beneficiary when First Generation was Eligible Designated Spouse is Sole Beneficiary (2nd or > Gen EDB-Sole Spouse)***

Select this option if you are a second generation or greater beneficiary when the first generation beneficiary was an Eligible Designated Sole Spouse.

- Succeeding Generation Beneficiary when First Generation was Eligible Designated Minor of Deceased Owner (2nd or > Gen EDB-Minor)***

Select this option if you are a second generation or greater beneficiary when the first generation beneficiary was a minor child (under the age of 18) of the original account owner.

- Succeeding Generation Beneficiary when First Generation was Eligible Designated Beneficiary – Other (2nd or > Gen EDB-Other)***

Select this option if you are a second generation or greater beneficiary when the first generation beneficiary was eligible to receive Life Expectancy payments and was not the sole spouse or minor direct decedent of the original account owner.

- Succeeding Generation Beneficiary when First Generation was a Designated Beneficiary (2nd or > Gen DB)**

Select this option if you are a second generation or greater beneficiary when the first generation beneficiary was not eligible for Life Expectancy payments.

Part 5: Distributions *If no allocations are selected below, your distribution will be taken pro rata*

In the year of the owner's death, any unpaid RMD amounts for that year cannot be transferred to your IRA.* These amounts must be paid to you.

* Under the CARES Act, RMDs are waived for calendar year 2020.

Distribute the amount of the remaining RMD

- Thornburg was calculating the RMD amount, please distribute remaining RMD amount.

- Please distribute \$_____ to satisfy the remaining RMD.

A. Eligible Designated Beneficiary Options (Spouse or Non-Spouse)

If the beneficiary is an "eligible designated beneficiary," such beneficiary may receive RMDs calculated with reference to their life expectancy. An "eligible designated beneficiary" is any individual who is the surviving spouse of the IRA owner, a child of the IRA owner who has not attained the age of 18 (upon such child attaining the age of majority any remaining assets must be distributed no later than the end of the tenth calendar year after the calendar year in which the child reaches the age of 18); certain disabled and chronically ill individuals, and an individual not listed above who is less than 10 years younger than the IRA owner. Please consult with your tax advisor to determine if you qualify as an eligible designated beneficiary.

One-time Distribution:

- \$_____

Installment Distributions:

- Life Expectancy Payments (should begin no later than December 31st of the calendar year following the year in which the IRA owner died.)

- Establish systematic distribution of specific dollar amount \$_____

Distribution Frequency:

- Monthly Quarterly Semiannually Annually

Start Date _____ (MM/DD/YYYY) Distributions by check can only be sent on the first of each month.

B. Designated Beneficiary Options

As a general rule, for an IRA owner who died on or after January 1, 2020, the assets in the traditional or Roth IRA must be distributed to the designated beneficiary by the end of the tenth calendar year following the year of the IRA owner's death.

Request a Distribution

- Establish systematic distribution of specific dollar amount \$_____

- One-time Distribution of \$_____

Distribution Frequency

- Monthly Quarterly Semiannually Annually

Start Date _____ (MM/DD/YYYY) Distributions by check can only be sent on the first of each month.

C. Non-Designated Beneficiary Options

Beneficiary is an entity such as an estate, charity, or, trust. For these IRAs, the required distribution schedule depends on whether the IRA owner died before or after reaching their required beginning date for RMDs. For those who turned 70½ in 2020 or later, the required beginning date is age 72. For all others the required beginning date is age 70½. When the IRA owner died before the required beginning date, the entire IRA must be distributed within five years of the original owner's death. When the IRA owner died after the required beginning date, the IRA can be distributed as though the IRA owner still lived, using the owner's remaining single life expectancy in the IRS's life expectancy tables.

One-Time Distribution:

- \$ _____

Installment Distributions:

- Systematic distribution of specific dollar amount \$ _____
- Continue systematic withdrawals based on original IRA owner's single life expectancy (this option is only available if original owner died AFTER their required beginning date)

Distribution Frequency

- Monthly Quarterly Semiannually Annually

Start Date _____ (MM/DD/YYYY) Distributions by check can only be sent on the first of each month.

Allocation of your Distribution

If no allocations are selected, your distribution will be taken from your account pro rata

	Share Class and Fund Number		
	Class A	Class C	
Ultra Short Income Fund	<input type="checkbox"/> 130	n/a	_____ %
Limited Term Income Fund	<input type="checkbox"/> 196	<input type="checkbox"/> 646	_____ %
Limited Term U.S. Government Fund	<input type="checkbox"/> 142	<input type="checkbox"/> 642	_____ %
Strategic Income Fund	<input type="checkbox"/> 121	<input type="checkbox"/> 637	_____ %
Small/Mid Cap Core Fund	<input type="checkbox"/> 167	<input type="checkbox"/> 647	_____ %
International Equity Fund	<input type="checkbox"/> 139	<input type="checkbox"/> 639	_____ %
Better World International Fund	<input type="checkbox"/> 131	<input type="checkbox"/> 617	_____ %
Small/Mid Cap Growth Fund	<input type="checkbox"/> 183	<input type="checkbox"/> 653	_____ %
International Growth Fund	<input type="checkbox"/> 119	<input type="checkbox"/> 609	_____ %
Global Opportunities Fund	<input type="checkbox"/> 122	<input type="checkbox"/> 672	_____ %
Investment Income Builder Fund	<input type="checkbox"/> 161	<input type="checkbox"/> 661	_____ %
Developing World Fund	<input type="checkbox"/> 118	<input type="checkbox"/> 680	_____ %
Summit Fund	<input type="checkbox"/> 1450	n/a	_____ %
			Must Total 100%

Part 6: Distribution Method

- Please send distribution by check to address of record.
- Please send distribution by check to address of record for overnight delivery. This option is not available for delivery to P.O. Boxes. There is a \$13 fee for this option (\$16 for Saturday delivery).

Please send distribution by check to the following address (Signature must be Medallion Guaranteed):

Address

City

State

Zip

Please send distribution directly to my bank account: Please indicate ACH or Wire

Banking instructions already on file on the account.

Please use the attached voided check to establish banking instructions. (Signature must be Medallion Guaranteed in Part 8)

Special Instructions _____

Part 7: Withholding Election

Federal Income Tax Withholding

I elect NOT to have federal income tax withheld. I understand that I may still be liable for payment of federal income tax on the amount received. I also understand that I may be subject to federal income tax penalties under the estimated tax payment rules if my payments of the estimated tax and withholding are insufficient.

Withhold _____% federal income tax (must be 10% or greater).

State Income Tax Withholding

The following states do not allow state withholding: AK, FL, HI, MS, NH, NV, SD, TN, TX, WA, WY.

If federal taxes are withheld, and your state requires tax withholding, then mandatory state tax withholding will apply, unless you check one of the boxes below. For some states, opting out of state taxes is not an option. Some states have additional provisions in order to opt out of automatic state tax withholding (e.g. Michigan). Please consult with a tax advisor or refer to your state's tax laws for more information.

I elect NOT to have state tax withheld. Please note: if withholding is mandatory in your state, we will withhold the minimum amount required, even if you check this box.

Withhold state tax of \$_____. Please note: if withholding is mandatory in your state, we will withhold the minimum amount required, even if you indicate a smaller amount.

Part 8. Signature

Sign

Date

All signatures must be Medallion Guaranteed. A Medallion Signature Guarantee may be executed by any eligible guarantor: Commercial Banks, Trust Companies, Registered Broker Dealers. A Notary Public is NOT an eligible guarantor.

Affix Guarantee Here